

P20201.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P20201

Total Pages

Inventor(s) or Application Identifier  
Yasuhiro YAMAMOTO

Title: IMAGE READING SYSTEM

JC929 US PTO 05/26/01

01/26/01

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Small Entity Statement(s)</li> </ul>	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> c. <input type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>16</u> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>7</u> ]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>	12. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input type="checkbox"/> Preliminary Amendment
8. <input type="checkbox"/> CD-Rom or CD-R, in duplicate, large table or Computer Program (Appendix)	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u>
	17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Claim of Priority</li> <li>b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</li> </ul>
	18. <input checked="" type="checkbox"/> Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN
	19. <input type="checkbox"/> Other: _____ _____ _____ _____
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>/</u> , filed _____ <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>	
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: <i>This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. <u>/</u>, filed _____.</i>	

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
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*1/26/01*

Date



*Bruce H. Bernstein Reg. No. 29,027*  
Signature  
33,329

*Bruce H. Bernstein, Reg. No. 29,027*  
Typed or Printed Name



## FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Y. YAMAMOTO
Group Art Unit	Unassigned
Examiner Name	Unassigned

JC681 U.S. 01/26/01

TOTAL AMOUNT OF PAYMENT (\$750.00)

Attorney Docket Number P20201

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089  
Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

2.  Payment Enclosed:  
 Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 12/29/99)

## 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<u>710</u>
106	320	206	160	Design filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional filing fee	_____

SUBTOTAL (1) (\$ 710)

## 2. CLAIMS

Total Claims	-20=	Extra Claims	Fee from below	Fee Paid
6	-20=	0	x 18	<u>0</u>
Independent 2	-3=	0	x 80	<u>0</u>
Multiple Dependent Claims		x		<u>0</u>

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR	_____
113	1,840*	113	1,840*	Prior to Examiner action	_____
				Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within 1st month	_____
116	390	216	195	Extension for response within 2nd month	_____
117	890	217	445	Extension for response within 3rd month	_____
118	1,390	218	695	Extension for response within 4th month	_____
128	1,890	228	945	Extension for response within 5th month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,240	241	620	Petition to revive unintentionally abandoned application	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of IDS	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
				Other fee (specify)	_____
				Other fee (specify)	_____
				SUBTOTAL (3) (\$ <u>40</u> )	

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Leslie J. Bernstein Esq.</i>	Date	<i>1/26/01</i>

Deposit Account User ID

33,329